



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Goddard et al.  
Appl. No. : 10/063,570  
Filed : May 2, 2002  
For: : SECRETED AND TRANSMEMBRANE  
POLYPEPTIDES AND NUCLEIC ACIDS ENCODING  
THE SAME  
Examiner : Stephen Gucker  
Art Unit : 1649

**REQUEST TO CORRECT INVENTORSHIP**

**Pursuant to 37 C.F.R. §1.48**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request, pursuant to 37 C.F.R. §1.48, to have Colin K. Watanabe reinstated as a named inventor in the above-identified patent application. Colin K. Watanabe is a citizen of the United States of America, residing in Moraga, California, and signed the original declaration submitted in this patent application at the time of filing. Applicants submit that the deletion of Colin K. Watanabe as an inventor was an error that occurred without deceptive intent.

The inventors of U.S. Patent Application No. 10/063,547 should be listed as follows:

Audrey Goddard  
Paul J. Godowski  
J. Christopher Grimaldi  
Austin L. Gurney  
Colin K. Watanabe  
William I. Wood

11/26/2007 TNGUYEN2 00000035 10063570

01 FC:1464

130.00 DP

**Appl. No.:** 10/063,570  
**Filed:** May 2, 2002

Included herewith are a Statement Confirming No Deceptive Intent on the part of the reinstated inventor pursuant to 37 C.F.R. § 1.48(2), a copy of the original inventor's declaration pursuant to 37 C.F.R. § 1.48(3), written consent from the Assignee pursuant to 37 C.F.R. § 1.48(5), a Statement Under 37 § CFR 3.73(b), a copy of the original Assignment executed by the added inventor, and the fee set forth in 37 C.F.R. § 1.17(i).

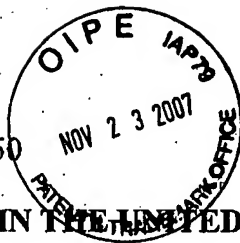
Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 11/20/2007 By: M. T. Morley  
Marc T. Morley  
Registration No. 52,051  
Attorney of Record  
Customer No. 30,313  
(619) 235-8550

GNE.3230R1C50



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Goddard, et al.  
Appl. No. : 10/063,570  
Filed : May 2, 2002  
For : SECRETED AND TRANSMEMBRANE  
POLYPEPTIDES AND NUCLEIC ACIDS  
ENCODING THE SAME  
Examiner : Stephen Gucker  
Group Art Unit : 1649

O I P E I A P 78

STATEMENT CONFIRMING NO DECEPTIVE INTENT

Pursuant to 37 C.F.R. §1.48(2)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

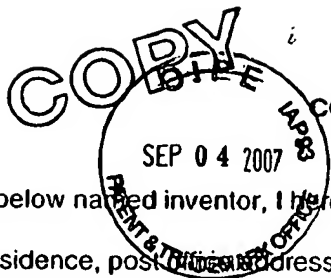
Dear Sir:

The inventorship error of deleting Colin K. Watanabe as an inventor in the above-referenced patent application occurred without any deceptive intent on the part of Colin K. Watanabe.

Signed this 9<sup>th</sup> day of Oct, 2007 by Colin K. Watanabe

Colin K. Watanabe

4359513 SMA100307



# COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

# COPY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) ☒ is attached hereto or ☐ was filed on  as Application Serial No.  and was amended on  (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Priority Claimed  
Yes No

Number	Country	Day/Month/Year Filed
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I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below:

### Please see attached Appendix A (Listing 87 U.S. Provisional Patent Applications)

Application Ser. No.	Filing Date
----------------------	-------------

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

### Please see attached Appendix B (Listing 20 U.S. applications and 27 PCT applications)

Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned
----------------------	-------------	--------------------------------------

Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned
----------------------	-------------	--------------------------------------

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.



09157

PATENT TRADEMARK OFFICE

Paul E. Rauch, Registration No. 38,591, CUSTOMER NUMBER: 28,442

Send correspondence to:



Atty. Paul E. Rauch  
 Brinks, Hofer, Gilson & Lione  
 NBC Tower - Suite 3600  
 455 N. Cityfront Plaza Drive  
 Chicago, IL 60611-5599  
 Telephone: 312-321-4780  
 Facsimile: 312-321-4299  
 Email: prauch@brinkshofer.com

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

Full name of sole or first inventor

Dan L. Eaton

10/2/01

Inventor's signature

Date

Residence 45 Inverness Dr.  
 75 Knight Drive, San Rafael, CA 94901

Citizenship  
 US

Post Office Address  
 1 DNA Way  
 South San Francisco, CA 94080

Full name of second joint inventor, if any

Ellen Filvaroff

Inventor's signature

10/1/01

Date

Residence  
 538 18<sup>th</sup> Avenue, San Francisco, CA 94121

Citizenship  
 US

Post Office Address  
 1 DNA Way  
 South San Francisco, CA 94080

Full name of third joint inventor, if any

Mary E. Gerritsen

Inventor's signature

Date

Residence  
 541 Parrott Drive, San Mateo, CA 94402

Citizenship  
 CA

Post Office Address  
 1 DNA Way  
 South San Francisco, CA 94080

Send correspondence to:

Attn: Paul E. Rauch  
Brinks, Hofer, Gilson & Lione  
NBC Tower - Suite 3600  
455 N. Cityfront Plaza Drive  
Chicago, IL 60611-5599  
Telephone: 312-321-4780  
Facsimile: 312-321-4299  
Email: prauch@brinkshofer.com

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

Full name of sole or first inventor

Dan L. Eaton

Inventor's signature

Date

Residence

75 Knight Drive, San Rafael, CA 94901

Citizenship

US

Post Office Address

1 DNA Way  
South San Francisco, CA 94080

Full name of second joint inventor, if any

Ellen Filvaroff

Inventor's signature

Date

Residence

538 18<sup>th</sup> Avenue, San Francisco, CA 94121

Citizenship

US

Post Office Address

1 DNA Way  
South San Francisco, CA 94080

Full name of third joint inventor, if any

Mary E. Gerritsen

Inventor's signature

Date

Residence

541 Parrott Drive, San Mateo, CA 94402

Citizenship

CA

Post Office Address

1 DNA Way  
South San Francisco, CA 94080

Full name of fourth joint inventor, if any

Audrey Goddard

Inventor's signature



Date

1 OCT. 2001

Residence

110 Congo Street, San Francisco, CA 94131

Citizenship

CA

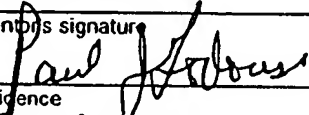
Post Office Address

1 DNA Way  
South San Francisco, CA 94080

Full name of fifth joint inventor, if any

Paul J. Godowski

Inventor's signature



Date

10/1/01

Residence

25 Orange Court, Hillsborough, CA 94010

Citizenship

US

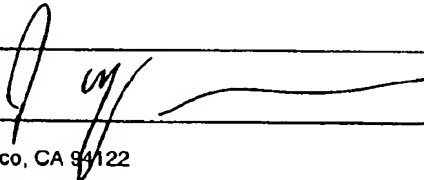
Post Office Address

1 DNA Way  
South San Francisco, CA 94080

Full name of sixth joint inventor, if any

J. Christopher Grimaldi

Inventor's signature



Date

10/2/01

Residence

1434 36th Avenue, San Francisco, CA 94122

Citizenship

US

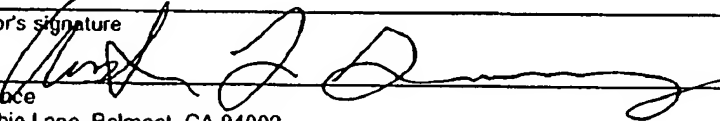
Post Office Address

1 DNA Way  
South San Francisco, CA 94080

Full name of seventh joint inventor, if any

Austin L. Gurney

Inventor's signature



Date

10/1/01

Residence

1 Debbie Lane, Belmont, CA 94002

Citizenship

US

Post Office Address

1 DNA Way  
South San Francisco, CA 94080

Full name of eighth joint inventor, if any

Colin K. Watanabe

Inventor's signature



Date

10.2.2001

Residence

128 Corliss Drive, Moraga, CA 94556

Citizenship

US

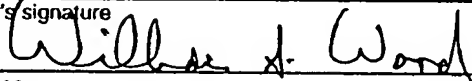
Post Office Address

1 DNA Way  
South San Francisco, CA 94080

Full name of ninth joint inventor, if any

William I. Wood

Inventor's signature



Date

10/3/21

Residence

35 Southdown Court, Hillsborough, CA 94010

Citizenship

US

Post Office Address

1 DNA Way  
South San Francisco, CA 94080



**APPENDIX A**

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

1. 60/063435 Filed 10/29/97
2. 60/064215 Filed 10/29/97
3. 60/082797 Filed 4/22/98
4. 60/083495 Filed 4/29/98
5. 60/085579 Filed 5/15/98
6. 60/087759 Filed 6/2/98
7. 60/088021 Filed 6/4/98
8. 60/088029 Filed 6/4/98
9. 60/088030 Filed 6/4/98
10. 60/088734 Filed 6/10/98
11. 60/088740 Filed 6/10/98
12. 60/088811 Filed 6/10/98
13. 60/088824 Filed 6/10/98
14. 60/088825 Filed 6/10/98
15. 60/088863 Filed 6/11/98
16. 60/089105 Filed 6/12/98
17. 60/089514 Filed 6/16/98
18. 60/089653 Filed 6/17/98
19. 60/089952 Filed 6/19/98
20. 60/090246 Filed 6/22/98
21. 60/090444 Filed 6/24/98
22. 60/090688 Filed 6/25/98
23. 60/090696 Filed 6/25/98
24. 60/090862 Filed 6/26/98
25. 60/091628 Filed 7/2/98
26. 60/096012 Filed 8/10/98
27. 60/096757 Filed 8/17/98
28. 60/096949 Filed 8/18/98
29. 60/096959 Filed 8/18/98
30. 60/097954 Filed 8/26/98
31. 60/097971 Filed 8/26/98
32. 60/097979 Filed 8/26/98
33. 60/098749 Filed 9/1/98
34. 60/099741 Filed 9/10/98
35. 60/099763 Filed 9/10/98
36. 60/099792 Filed 9/10/98
37. 60/099812 Filed 9/10/98
38. 60/099815 Filed 9/10/98
39. 60/100627 Filed 9/16/98
40. 60/100662 Filed 9/16/98
41. 60/100683 Filed 9/17/98
42. 60/100684 Filed 9/17/98
43. 60/100930 Filed 9/17/98
44. 60/101279 Filed 9/22/98
45. 60/101475 Filed 9/23/98

46.	60/101738	Filed 9/24/98
47.	60/101743	Filed 9/24/98
48.	60/101916	Filed 9/24/98
49.	60/102570	Filed 9/30/98
50.	60/103449	Filed 10/6/98
51.	60/103678	Filed 10/8/98
52.	60/103679	Filed 10/8/98
53.	60/103711	Filed 10/8/98
54.	60/105000	Filed 10/20/98
55.	60/105002	Filed 10/20/98
56.	60/105881	Filed 10/27/98
57.	60/106030	Filed 10/28/98
58.	60/106464	Filed 10/30/98
59.	60/106856	Filed 11/3/98
60.	60/108807	Filed 11/17/98
61.	60/112419	Filed 12/15/98
62.	60/112422	Filed 12/15/98
63.	60/112853	Filed 12/16/98
64.	60/113011	Filed 12/16/98
65.	60/112854	Filed 12/16/98
66.	60/113300	Filed 12/22/98
67.	60/113408	Filed 12/22/98
68.	60/113430	Filed 12/23/98
69.	60/113621	Filed 12/23/98
70.	60/114223	Filed 12/30/98
71.	60/115614	Filed 1/12/99
72.	60/116527	Filed 1/20/99
73.	60/116843	Filed 1/22/99
74.	60/119285	Filed 2/9/99
75.	60/119287	Filed 2/9/99
76.	60/119525	Filed 2/10/99
77.	60/119549	Filed 2/10/99
78.	60/120014	Filed 2/11/99
79.	60/129122	Filed 4/13/99
80.	60/129674	Filed 4/16/99
81.	60/131291	Filed 4/27/99
82.	60/138387	Filed 6/9/99
83.	60/144791	Filed 7/20/99
84.	60/169495	Filed 12/7/99
85.	60/175481	Filed 1/11/00
86.	60/191007	Filed 3/21/00
87.	60/199397	Filed 4/25/00

**APPENDIX B**

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications listed below:

**US APPLICATIONS**

- |     |            |                |     |
|-----|------------|----------------|-----|
| 1.  | 09/380139  | Filed 8/25/98  |     |
| 2.  | 09/311832  | Filed 5/14/99  |     |
| 3.  | 09/380137  | Filed 8/25/99  | ABD |
| 4.  | 09/380138  | Filed 8/25/99  | ABD |
| 5.  | 09/380142  | Filed 8/25/99  | ABD |
| 6.  | 09/397342  | Filed 9/15/99  |     |
| 7.  | 09/403297  | Filed 10/18/99 | ABD |
| 8.  | 09/423844  | Filed 11/12/99 | ABD |
| 9.  | 09/644848  | Filed 8/22/00  |     |
| 10. | 09/665350  | Filed 9/18/00  |     |
| 11. | 09/664610  | Filed 9/18/00  | ABD |
| 12. | 09/709238  | Filed 11/8/00  |     |
| 13. | 09/747259  | Filed 12/20/00 |     |
| 14. | 09/816744  | Filed 3/22/01  |     |
| 15. | 09/854208  | Filed 5/10/01  |     |
| 16. | 09/854280  | Filed 5/10/01  |     |
| 17. | 09/870574  | Filed 5/30/01  |     |
| 18. | 09/874503  | Filed 6/5/01   |     |
| 19. | 09/869599  | Filed 6/29/01  |     |
| 20. | 09/908,827 | Filed 7/18/01  |     |

**PCT APPLICATIONS**

- |     |                |                |
|-----|----------------|----------------|
| 1.  | PCT/US98/19330 | Filed 9/16/98  |
| 2.  | PCT/US99/05028 | Filed 3/8/99   |
| 3.  | PCT/US99/10733 | Filed 5/14/99  |
| 4.  | PCT/US99/12252 | Filed 6/2/99   |
| 5.  | PCT/US99/20111 | Filed 9/1/99   |
| 6.  | PCT/US99/21090 | Filed 9/15/99  |
| 7.  | PCT/US99/21194 | Filed 9/15/99  |
| 8.  | PCT/US99/30720 | Filed 12/22/99 |
| 9.  | PCT/US00/04341 | Filed 2/18/00  |
| 10. | PCT/US00/04342 | Filed 2/18/00  |
| 11. | PCT/US00/04414 | Filed 2/22/00  |
| 12. | PCT/US00/05601 | Filed 3/1/00   |
| 13. | PCT/US00/08439 | Filed 3/30/00  |
| 14. | PCT/US00/14042 | Filed 5/22/00  |
| 15. | PCT/US00/15264 | Filed 6/2/00   |
| 16. | PCT/US00/23522 | Filed 8/23/00  |
| 17. | PCT/US00/23328 | Filed 8/24/00  |
| 18. | PCT/US00/30873 | Filed 11/10/00 |
| 19. | PCT/US00/32378 | Filed 12/1/00  |
| 20. | PCT/US00/34956 | Filed 12/20/00 |
| 21. | PCT/US01/06520 | Filed 2/28/01  |

- 22. PCT/US01/06666 Filed 3/1/01
- 23. PCT/US01/17443 Filed 5/30/01
- 24. PCT/US01/17800 Filed 6/1/01
- 25. PCT/US01/19692 Filed 6/20/01
- 26. PCT/US01/21066 Filed 6/29/01
- 27. PCT/US01/21735 Filed 7/9/01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Goddard, et al.  
Appl. No. : 10/063,570  
Filed : May 2, 2002  
For : SECRETED AND TRANSMEMBRANE  
POLYPEPTIDES AND NUCLEIC ACIDS  
ENCODING THE SAME  
Examiner : Stephen Gucker  
Group Art Unit : 1649

STATEMENT FROM ASSIGNEE

Pursuant to 37 C.F.R. §1.48

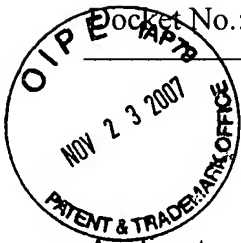
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

I hereby assert that I am authorized to act on behalf of the assignee, Genentech, Inc., 1 DNA Way, South San Francisco, California, 94080, the owner of the entire right, title and interest in the above-reference patent application, (see attached Statement under 37 C.F.R. § 3.73(b)). I further hereby assert that the assignee agrees to the inventorship change in the application to reinstate Colin K. Watanabe as a named inventor.

Signed this 18 day of October, 2007 by Mark T. KresnakName: Title: Associate General Counsel, Director

GENENTECH, Inc.  
1 DNA Way  
South San Francisco, CA 94080



STATEMENT UNDER 37 CFR § 3.73(b)  
ESTABLISHMENT OF ASSIGNEE

Applicant : Goddard et al.  
App. No. : 10/063,570  
Filed : May 2, 2002  
For : SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC  
ACIDS ENCODING THE SAME  
Examiner : Stephen Gucker  
Group Art Unit : 1649

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This document is being filed with a copy of a "Revocation and General Power of Attorney" signed by the Assignee and sets forth the chain of title of the above-identified application.

Please recognize or change the correspondence address for the above-identified application to **Customer No. 30,313.**

Genentech, Inc., a Delaware Corporation, is the Assignee of the entire right, title, and interest of the above-referenced application by virtue of:

The Assignment from the inventor(s) to the Assignee recorded in the United States Patent and Trademark Office on December 6, 2001, at Reel 012377, and Frame 0266.

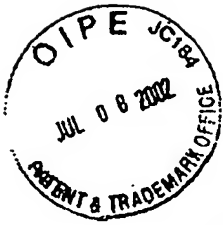
The undersigned is an agent of Customer Number 30,313 and is authorized to act on behalf of the assignee as provided in the attached copy of the "Revocation and Power of Attorney." All correspondence is to be directed to **Customer No. 30,313.**

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 11/20/2007

By: M.T. Morley  
Marc T. Morley  
Registration No. 52,051  
Attorney of Record  
Customer No. 30,313  
(619) 235-8550



COPY

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PATENT  
JUL 18 2002

TECHNICAL CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Eaton et al.  
Appl. No. : Listed Below  
Filed : Listed Below  
For : SECRETED AND  
TRANSMEMBRANE  
POLYPEPTIDES AND  
NUCLEIC ACIDS ENCODING  
THE SAME  
Examiner : UNKNOWN

) Group Art Unit Unknown  
) I hereby certify that this correspondence and all  
) marked attachments are being deposited with the  
) United States Postal Service as first-class mail in  
) an envelope addressed to: United States Patent  
) and Trademark Office, P.O. Box 2327,  
) Arlington, VA 22202, on

July 2, 2002

(Date)

Adeel S. Akhtar, Reg. No. 41,394

REVOCATION AND POWER OF ATTORNEY AND CHANGE OF ADDRESS

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

The undersigned is empowered to act on behalf of the assignee below (the "Assignee"). The original assignment is recorded at Reel 012377 and Frame 0266 and a new assignment is recorded at Reel 012643 and Frame 0431. This Assignment represents the entire chain of title of this invention from the Inventor(s) to the Assignee.

I declare that all statements made herein are true, and that all statements made upon information and belief are believed to be true, and further, that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that willful, false statements may jeopardize the validity of the application, or any patent issuing thereon.

The undersigned hereby revokes any previous powers of attorney in the subject application, and hereby appoints Elizabeth M. Barnes, Reg. No. 35,059 and other registrants of Customer No. 09157 as its attorneys and the registrants of Knobbe, Martens, Olson & Bear, LLP, 620 Newport Center Drive, Sixteenth Floor, Newport Beach, California 92660, Telephone

Appl. No. : Listed Below  
Filed : Listed Below

(619) 235-8550, Customer No. 30, 313, as its associate attorneys with full power of substitution and revocation to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected herewith. This appointment is to be to the exclusion of the inventor(s) and his attorney(s) in accordance with the provisions of 37 C.F.R. § 3.71.

Please correct the address for all future communications relative to the below referenced pending patent application to the following address:

AnneMarie Kaiser  
Knobbe Martens Olson & Bear  
620 Newport Center Drive  
Sixteenth Floor  
Newport Beach, CA 92660  
Telephone: 619-235-8550

<u>Appln. No.</u>	<u>Appln. Date</u>	<u>Docket No.</u>
10/006867	Dec 06 2001	P3230R1C001
10/063502	Apr 30 2002	P3230R1C002
10/063510	May 01 2002	P3230R1C003
10/063512	May 01 2002	P3230R1C004
10/063513	May 01 2002	P3230R1C005
10/063514	May 01 2002	P3230R1C006
10/063515	May 01 2002	P3230R1C007
10/063516	May 01 2002	P3230R1C008
10/063517	May 01 2002	P3230R1C009
10/063518	May 01 2002	P3230R1C010
10/063519	May 01 2002	P3230R1C011
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 Filed : Listed Below

<u>Appln. No.</u>	<u>Appln. Date</u>	<u>Docket No.</u>
10/063545	May 02 2002	P3230R1C030
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 Filed : Listed Below

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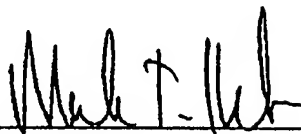
Respectfully submitted,

GENENTECH, INC.

Dated:

6-25-02

By:



Mark Kresnak

Registration No. 42,767

Title: Section Patent Counsel

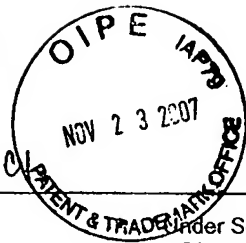
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South San Francisco, CA 94080

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PATENT AND  
TRADEMARK OFFICE

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GENENTECH, INC.  
ELIZABETH M. BARNES, PH.D.  
1 DNA WAY  
SOUTH SAN FRANCISCO, CA 94080

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\*101922805A\*

GENENTECH, INC.  
UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/06/2001

REEL/FRAME: 012377/0266  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

EATON, DAN L.

DOC DATE: 10/02/2001

ASSIGNOR:

FILVAROFF, ELLEN

DOC DATE: 10/01/2001

ASSIGNOR:

GERRITSEN, MARY E.

DOC DATE: 10/15/2001

ASSIGNOR:

GODDARD, AUDREY

DOC DATE: 10/01/2001

ASSIGNOR:

GODOWSKI, PAUL J.

DOC DATE: 10/01/2001

ASSIGNOR:

GRIMALDI, J. CHRISTOPHER

DOC DATE: 10/02/2001

ASSIGNOR:

GURNEY, AUSTIN L.

DOC DATE: 10/01/2001

012377/0266 PAGE 2

ASSIGNOR:

WATANABE, COLIN K.

DOC DATE: 10/02/2001

ASSIGNOR:

WOOD, WILLIAM I.

DOC DATE: 10/03/2001

ASSIGNEE:

GENENTECH, INC.

1 DNA WAY

SOUTH SAN FRANCISCO, CALIFORNIA

94080-4990

SERIAL NUMBER: 10006867

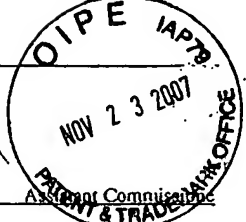
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PATENT NUMBER:

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ASSIGNMENT DIVISION  
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12/6/01



12-21-2001



101922805

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Atty Dkt No: P3230R1C1

ents or copy thereof.

1. Name of conveying party(ies):

Dan L. Eaton; Ellen Filvaroff; Mary E. Gerritsen;  
Audrey Goddard, Paul J. Godowski; J. Christopher  
Grimaldi; Austin L. Gurney; Colin K. Watanabe;  
William I. Wood

Additional name(s) of conveying party(ies) attached? Yes ☒ No

2. Name and address of receiving party(ies):

Name: GENENTECH, INC.

Internal Address:

Street Address: 1 DNA Way

City: South San Francisco State: California

ZIP: 94080-4990

Additional name(s) & address(es) attached? Yes ☒ No

3. Nature of conveyance:

☒ Assignment

Execution Date: 10/02/01; 10/01/01; 10/15/01; 10/01/01;

10/01/01; 10/02/01; 10/01/01; 10/02/01 & 10/03/01,

respectively.

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: October 15, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes ☒ No

10/006867

5. Name and address of party to whom correspondence concerning document should be mailed:

Elizabeth M. Barnes, Ph.D.  
GENENTECH, INC.  
1 DNA Way  
South San Francisco, CA 94080

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

07-0630

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Elizabeth M. Barnes, Ph.D.  
Name of Person Signing  
Registration No. 35,059

Elizabeth M. Barnes  
Signature

December 6, 2001  
Date

2/20/2001 DBYRNE 00000017 070630 10006867

Total number of pages comprising cover sheet, attachments and document: 4

1 FC:581

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**ASSIGNMENT**

WHEREAS,

Dan L. Eaton, a citizen of US, residing at 75 Knight Drive, San Rafael, CA 94901, and  
Ellen Filvaroff, a citizen of US, residing at 538 18<sup>th</sup> Avenue, San Francisco, CA 94121, and  
Mary E. Gerritsen, a citizen of CA, residing at 541 Parrott Drive, San Mateo, CA 94402, and  
Audrey Goddard, a citizen of CA, residing at 110 Congo Street, San Francisco, CA 94131, and  
Paul J. Godowski, a citizen of US, residing at 25 Orange Court, Hillsborough, CA 94010, and  
J. Christopher Grimaldi, a citizen of US, residing at 1434 36<sup>th</sup> Ave., San Francisco, CA 94122, and  
Austin L. Gurney, a citizen of US, residing at 1 Debbie Lane, Belmont, CA 94002, and  
Colin K. Watanabe, a citizen of US, residing at 128 Corliss Drive, Moraga, CA 94556, and  
William I. Wood, a citizen of US residing at 35 Southdown Court, Hillsborough, CA 94010  
(hereinafter "ASSIGNORS"), have invented a new and useful invention in

**SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND  
NUCLEIC ACIDS ENCODING THE SAME**

for which an application is being filed herewith (Docket No. P3230R1C1); and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the said ASSIGNORS have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

Said ASSIGNORS covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said ASSIGNORS includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said ASSIGNORS in providing such cooperation shall be paid for by said GENENTECH, INC.



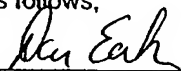
The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon said ASSIGNORS, their respective heirs, legal representatives and assigns.

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF we undersign as follows;

South San Francisco

Dated: 10/2/01

  
Dan L. Eaton

South San Francisco

Dated: 10/1/01

  
Ellen Filvaroff

South San Francisco

Dated: \_\_\_\_\_

\_\_\_\_\_  
Mary E. Gerritsen

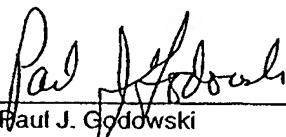
South San Francisco

Dated: 10/1/01

  
Audrey Goddard

South San Francisco

Dated: 10/1/01

  
Paul J. Godowski

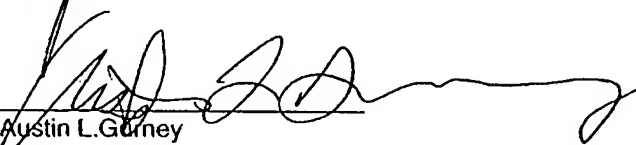
South San Francisco

Dated: 10/2/01

  
J. Christopher Grimaldi

South San Francisco

Dated: 10/1/01

  
Austin L. Gurney

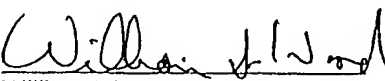
South San Francisco

Dated: 10-2-2001

  
Colin K. Watanabe

South San Francisco

Dated: 10/3/01

  
William I. Wood

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IN WITNESS WHEREOF we undersign as follows;

South San Francisco

\_\_\_\_\_  
Dan L. Eaton

Dated: \_\_\_\_\_

South San Francisco

\_\_\_\_\_  
Ellen Filvaroff

Dated: \_\_\_\_\_

South San Francisco

\_\_\_\_\_  
Mary E. Gerritsen

Dated: Oct 15, 2001

South San Francisco

\_\_\_\_\_  
Audrey Goddard

Dated: \_\_\_\_\_

South San Francisco

\_\_\_\_\_  
Paul J. Godowski

Dated: \_\_\_\_\_

South San Francisco

\_\_\_\_\_  
J. Christopher Grimaldi

Dated: \_\_\_\_\_

South San Francisco

\_\_\_\_\_  
Austin L. Gurney

Dated: \_\_\_\_\_

South San Francisco

\_\_\_\_\_  
Colin K. Watanabe

Dated: \_\_\_\_\_

South San Francisco

\_\_\_\_\_  
William I. Wood

Dated: \_\_\_\_\_